

Selected Instructions for Completing the Software Disclosure Form

The purpose of this Software Disclosure is to record what was created and the circumstances under which it was created. Software may be subject to copyright and patent protection, and accurate information is critical to assessing appropriate means and availability of protection. It is a legally important document, which should be prepared carefully.

- Item 1.** Provide a short and descriptive title. This title will be posted on the IURTC website and may be shared with others to market the Software. Please take care to choose a title that does not disclose novel, unpublished, aspects of the Software.
- Item 2.** Provide a two or three sentence description of the Software. This information is required for reporting the Software to the federal government and other sponsors.
- Item 3.** **This information will be necessary for establishing creatorship. Maintain all original notes, drawings, data or other writings pertinent to the Software.** Provide information regarding the date each event occurred, the type of supporting material documenting the event and its location for:
- Conception of the Software
 - Where the work was performed
 - Reduction to practice in an operational sense
- Item 8.** List all sponsors whose funds in any amount have been used for any purpose related to the Software. For each sponsor, also provide the grant number, principal investigator listed on that grant and an estimate of the relative contribution. The Federal government and other funding agencies require reporting of patentable Software made with their funds. This information is needed by IURTC to comply with those requirements.
- Item 9.** Public disclosure is the date when Software (or description of the Software) is first available or accessible to the public. Public disclosure may take place any of the following forms among others: journal article, conference abstract, news releases, dissertations, poster presentation, web pages (including those of a journal publisher), published grant applications, oral presentation, seminars outside IU or discussions with non-IU personnel who are not under confidentiality restrictions.
- Item 13.** Does it include other software, code, or programming; or may it otherwise be subject to the rights of others in pre-existing material (s) or inventions? Are any portions of it "shareware", "freeware", "open source", or subject to other licenses from third parties?
- Item 20.** Are these plans in progress? Scheduled? Are these plans dependent upon commercial or federal sponsorship?

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IURTC Disclosure Manager	Indiana University Research and Technology Corporation Office of Technology Transfer Software Disclosure	IURTC Tech No.	
For assistance with completing the Software Disclosure please see the Instructions or call the IURTC Office of Technology Transfer at (317) 274-5905.			
1. Title of Software: Shaded areas for user entry automatically expand and wrap to accommodate the text entered.			
2. Brief Description of Software:			
3. Are you aware of any other software with the same or similar titles? <input type="checkbox"/> Yes <input type="checkbox"/> No			
4. Please list the dates and locations of the following:			
	Date	Supporting Material	Location
Conception of Software			
Where was the work performed			
Reduced to practice in an operational sense			
5. All individuals who contributed to the development of the Software: (e.g. author(s), programmer(s), inventor(s), students, colleagues and staff, including collaborators outside IU - attach additional pages as needed)			
Name	Title	Institution	
6. Are there any existing written agreements with any of the contributors or collaborators? <input type="checkbox"/> Yes <input type="checkbox"/> No If so, please attach.			
7. Does this software result or arise from corporate sponsored research? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please list the source and attach a copy of the research grant and/or agreement.			
8. Funding sources and relative contribution of each source: (attach additional pages as needed)			
Agency	Principal Investigator	Grant/Contract No.	Percent (%) Contribution
9. Have you publicly disclosed the Software?			
Published, submitted, prepared, or publicly presented data, thesis, reports, abstracts, journal articles, or presentations that pertain to or describe this software?	<input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, Date: <input type="checkbox"/> Anticipated, Date:	Comments:
10. Do existing copies of the work bear a Notice of Copyright? <input type="checkbox"/> Yes <input type="checkbox"/> No			
11. Has the work been registered with the U.S. Copyright Office? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, registration #:			

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<p>12. Does this Software require any other routines, programs, or other software or related support to operate? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>a. What operating system is required?</p> <p>b. What is the programming language?</p> <p>c. What hardware configuration is required?</p> <p>d. Are there any other special requirements for its use?</p> <p>e. Any accompanying documentation or "help" files?</p> <p>f. Did you or others create or write that documentation?</p> <p>g. Any incompatibilities?</p> <p>h. How would you envision that the software be distributed?</p> <p>i. Source code? <input type="checkbox"/> Object code? <input type="checkbox"/></p> <p>j. Other comments about this software?</p>
<p>13. Is this Software based upon or derived from other software or portions of software? <input type="checkbox"/> Yes <input type="checkbox"/> No If so, please list and explain in detail those sources.</p>
<p>14. Describe the particular problem that the Software might solve:</p>
<p>15. Please attach a complete description of the Software: The detail should be similar to that of the methods and results sections of a publication. This description may be by reference to a separate document (copy of a report, reprint, galley proof or grant application).</p>
<p>16. Describe previous attempts to solve the problem and the limitations or deficiencies your Software overcomes in the state of the art:</p>
<p>17. Describe the novel features of your Software and why they are significant:</p>
<p>18. Are there other contemplated forms of the Software or alternate aspects and uses of the Software? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>19. Describe the stage of development for this Software (prototype, some supporting documentation, fully functional with full documentation):</p>
<p>20. What research plans do you have for further development of your Software? Attach relevant grant applications</p>
<p>21. Who might be the potential audience for the Software? Outline your views for developing, marketing, and using your software for commercial applications.</p>
<p>22. List known competitive products and comment on differences and similarities to your software. These can include software already on the market as well as work by other researchers or competitors that are related to your work.</p>
<p>23. Has any commercial interest been shown in this Software? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>a. If so, list the companies, specific individuals, their titles and contact information.</p> <p>b. Did you have a confidentiality agreement in place with these entities or individuals? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, attach a copy</p>
<p>24. List other companies that might be contacted for interest in this Software as well as potential licensees, manufacturers, and companies active in this field.</p>
<p>25. In order to facilitate a useful understanding and evaluation of your Software, please attach any relevant papers and/or reviews (especially those authored by your competitors).</p>

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**Software Disclosure
Certification Page**

Please list the title of Software from the first page: _____

I/we (a) affirm that I/we are the creators of this software and to the best of our knowledge inventors of this technology. I/we have read and completed the disclosure form, included all information known and available at this time, and believe that this disclosure comprises a complete and accurate representation and explanation of the software and invention. I/we have read the Indiana University Intellectual Property Policy, available at www.indiana.edu/~rugs/respol/intprop.html or through the IURTC website (<http://iurtc.iu.edu>), and hereby acknowledge the applicability of that policy to this creation and technology. I/we also agree to abide by the Indiana University Intellectual Property Policy and to execute any documents necessary to perfect Indiana University's ownership of the Software consistent with that policy and its provisions. I/we also certify that all creators have agreed to an allocation and sharing of any revenues arising from this creation and technology.

Indiana University Creators (unless the following Revenue Sharing form is filled out, all of these individuals will share revenue equally).

All information and signatures must be obtained prior to processing at IURTC.

Primary Contact

	Signature Select from menu Other	Date
Printed name <i>If you have multiple appointments, fill out contact information as it applies to this Software only:</i>	Degree	Citizenship
	School/Department/Division	Building/Room number
University telephone	University fax	E-mail
Home address: Street	City, Zip code	Home telephone

Primary Contact

	Signature Select from menu Other	Date
Printed name <i>If you have multiple appointments, fill out contact information as it applies to this Software only:</i>	Degree	Citizenship
	School/Department/Division	Building/Room number
University telephone	University fax	E-mail
Home address: Street	City, Zip code	Home telephone

Please download or make copies of this page to accommodate all potential inventors. It is the responsibility of each party listed on this page to notify IURTC of a change in contact information. IURTC is not responsible for the disposition of any items sent to an individual who has moved and not provided IURTC with written confirmation of a new address. Please send all notices for a change of address or other information to: IURTC, Office of Technology Transfer, 351 West 10th Street, Indianapolis, IN 46202.

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**Software Disclosure
Revenue Sharing**

Please list the title of Software from the first page: _____

All potential creators typically would share net licensing revenue equally as specified in the Indiana University Intellectual Property Policy available at www.indiana.edu/~rugs/respol/intprop.html. However, this policy allows for alternative revenue sharing agreements between creators, as well as other contributors to the Software. You should discuss the revenue distribution percentages among yourselves. If you would like to pursue an alternative revenue sharing arrangement, all creators and other contributors, if any, **must agree to the distribution arrangement as evidenced by the signatures on this page**. Without this information, net licensing revenue will be shared equally between creators.

Names and **signatures** of creator(s) and other contributor(s), if any, and their corresponding revenue distribution percentage:

Printed Name	Signature	Revenue Distribution Percentage (%)	Listed on the Disclosure Certification Page?	If NOT listed on the Disclosure Certification Page, please provide contact information
1.			<input type="checkbox"/> Yes <input type="checkbox"/> No	Address: Phone: E-mail:
2.			<input type="checkbox"/> Yes <input type="checkbox"/> No	Address: Phone: E-mail:
3.			<input type="checkbox"/> Yes <input type="checkbox"/> No	Address: Phone: E-mail:
4.			<input type="checkbox"/> Yes <input type="checkbox"/> No	Address: Phone: E-mail:
5.			<input type="checkbox"/> Yes <input type="checkbox"/> No	Address: Phone: E-mail:
6.			<input type="checkbox"/> Yes <input type="checkbox"/> No	Address: Phone: E-mail:
7.			<input type="checkbox"/> Yes <input type="checkbox"/> No	Address: Phone: E-mail:
8.			<input type="checkbox"/> Yes <input type="checkbox"/> No	Address: Phone: E-mail:

It is the responsibility of each party listed on this page to notify IURTC of a change in contact information. IURTC is not responsible for the disposition of any items sent to an individual who has moved and not provided IURTC with written confirmation of a new address. Please send all notices for a change of address or other information to: IURTC, Office of Technology Transfer, 351 West 10th Street, Indianapolis, IN 46202.

